

IN THE UNITED STATES PATENT AND PATENT
TRADEMARK OFFICE

*Reply to Notification of Missing Requirements
Under 35 U.S.C. 371 in the United States Designated/Elected Office
(DO/EO/US)*

Applicant(s): Yoshitaka NISHIO, et al. Docket No.: 49288.2800
 Serial No.: 10/595,201 Filed in U.S.: March 22, 2006
 TITLE: SUBSTRATE DICING SYSTEM, Group Art Unit: TBA
 SUBSTRATE MANUFACTURING
 APPARATUS, AND SUBSTRATE DICING Confirmation No.: 4462
 METHOD

Commissioner for Patents
 Mail Stop PCT
 Box 1450
 Alexandria, VA 22313-1450

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed on November 3, 2006, in the above-identified application, enclosed herewith are the missing requirements needed to complete filing of the subject patent application.

1. Small Entity Status

[X] Small entity status under 37 C.F.R. §§1.9 and 1.27 has been indicated.

2. Fee Calculation

Basic Fee (\$900.00) + surcharge (\$130)	=	\$1030.00	
Total Claims 59minus 20 = 39 x \$50.00	=	\$1950.00	
Independent Claims 3minus 3 = 0 x \$200.00	=	\$0.00	
Multiple dependent claim fee, if applicable	\$360.00	+	\$360.00
Fee for exceeding 100 pages			\$500.00

Fee calculation, request for extension of time pursuant to 37 C.F.R. §1.136(a)

[] Missing parts filed within first month after due date – add	\$ 120.00	+	N/A
[] Missing parts filed within second month after due date – add	\$ 450.00	+	N/A
[] Missing parts filed within third month after due date – add	\$1,020.00	+	N/A
[] Missing parts filed within fourth month after due date – add	\$1,590.00	+	N/A
	Subtotal:		3840.00

If one of the "small entity" boxes above is checked, enter half (1/2) of the Subtotal and <u>subtract</u> .	- 1920.00
Less \$1855.00 charged to Snell & Wilmer's Deposit Account No. 19-2814 at the time of filing of the application	-1855.00

TOTAL FEES ENCLOSED: 65.00

3. Enclosures (check as applicable)

[X] Notice of Missing Requirements (copy)
 [X] Executed Combined Declaration
 [] Other:

4. Manner of Payment

[X] Please charge Deposit Account No. 19-2814 in the amount of \$ 65.00.
 [] Check in the amount of \$ _____ is attached (Snell & Wilmer Check No. _____).

This statement does NOT authorize charge of the issue fee. The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any **deficiency** in the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under 37 C.F.R. §§1.16-1.18 (**deficiency** only) now or hereafter relative to this Application and the resulting Official document under 37 C.F.R. §1.20, or credit any overpayment to Account No. 19-2814.

The Patent and Trademark Office is respectfully requested to send an Official Filing Receipt and Notice of Acceptance of Application for this matter to the undersigned attorney and forward the application for examination.

Respectfully submitted,

By

Howard I Sobelman
 Reg. No. 39,038

1/2/07

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/595,201	Yoshitaka Nishio	49288.2800

RECEIVED

20322 H15
 SNELL & WILMER
 400 EAST VAN BUREN
 ONE ARIZONA CENTER
 PHOENIX, AZ 85004-2202

NOV - 7 2006

SNELL & WILMER

INTERNATIONAL APPLICATION NO.

PCT/JP04/13962

I.A. FILING DATE

09/24/2004

PRIORITY DATE

09/24/2003

CONFIRMATION NO. 4462

371 FORMALITIES LETTER



OC000000021066240

Date Mailed: 11/03/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 03/22/2006
- English Translation of the IA filed on 03/22/2006
- Copy of the International Search Report filed on 03/22/2006
- Copy of IPE Report filed on 03/22/2006
- Information Disclosure Statements filed on 03/22/2006
- U.S. Basic National Fees filed on 03/22/2006
- Priority Documents filed on 03/22/2006
- Specification filed on 03/22/2006
- Claims filed on 03/22/2006
- Abstracts filed on 03/22/2006
- Drawings filed on 03/22/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

NOTED FOR RECEIPT

Response due 11/30/07

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$65** for a Small Entity:

- **\$65** Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5).

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

ANITA D JOHNSON

Telephone: (703) 308-9140 EXT 226

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/595,201	PCT/JP04/13962	49288.2800

FORM PCT/DO/EO/905 (371 Formalities Notice)